

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent of:

Han H. Nee

Patent No. 7,018,696

Issued: March 28, 2006

METAL ALLOYS FOR REFLECTIVE  
OR THE SEMI-REFLECTIVE LAYER OF  
OPTICAL STORAGE MEDIUMI hereby certify that this paper is being facsimile  
transmitted to the Patent and Trademark Office  
to 571.273.8300 on the date shown below.

John J. Emanuele

Print name of person signing certification

Signature

September 6, 2006

Date of Signature

September 6, 2006

**NOTIFICATION THAT SMALL ENTITY STATUS  
IS NO LONGER APPROPRIATE**Mail Stop Post Issue  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22314-1450

Dear Sir:

Please find enclosed the total deficiency payment for the above-mentioned patent pursuant to 37 C.F.R. 1.28(c)(1) and (2). During the course of ongoing litigation of related cases, the applicants reviewed this claim to small entity status. As a result of this review, the applicants have decided to correct any error in fee payment that may have occurred during prosecution of this case. The previously established small entity status was established in good faith, and the previously paid small entity fees were paid in good faith. The USPTO has not been notified of a loss of entitlement to small entity status prior to this notification. Small entity status is no longer appropriate.

It is respectfully requested that this error be excused and the total deficiency payment enclosed herewith and pursuant to 37 C.F.R. 1.28(c)(1) and (2) be accepted. An itemization of the total deficiency payment for this patent is attached.

09/08/2006 RMEBRAH1 00000002 10825779

01 FC:1501 1400.00 OP

Patent No. 7,018,696  
Issued: March 28, 2006  
7272-59.407620

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09/08/2006 AWONDAF1 00000010 233030 10825779

01 FC:1051	130.00 OP
02 FC:1201	1000.00 OP
03 FC:1202	3200.00 OP
04 FC:1814	130.00 OP
05 FC:1001	770.00 OP
20.00 DA	

<u>Type of fee and current amount</u>	<u>Actually paid</u>	<u>Date paid</u>	<u>Deficiency owed</u>
1.16(a)(1) Basic filing fee – Utility \$300	\$385	4/16/04	\$385
1.16(i) Claims in excess of twenty \$50	64 at \$9	4/16/04	\$2,624
1.16(h) Independent claims in excess of three \$200	5 at \$43	4/16/04	\$785
1. 1.16(f) Surcharge - Late declaration \$130	\$65	8/10/04	\$65
1.20(d) Statutory disclaimer \$130	\$65	8/25/05	\$65
1.18(a) Utility Issue fee \$1,400	\$700	11/16/05	\$700

Total deficiency: \$4,624

Patentee hereby authorizes the Commissioner to charge the amount of \$4,624 to the credit card detailed on the attached form PTO-2038. No additional fees are believed to be necessary, however, should any fees be deemed required, the Commissioner is authorized to charge such fees to Deposit Account No. 23-3030.

Respectfully submitted,

By: 

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**WOODARD, EMHARDT, MORIARTY, MCNETT & HENRY LLP**  
PATENT & TRADEMARK ATTORNEYS

## FACSIMILE TRANSMITTAL SHEET

TO:	FROM:
U.S. Patent and Trademark Office	John J. Emanuele
FAX NUMBER:	DATE:
571.273.8300	September 6, 2006
YOUR REFERENCE NUMBER:	TOTAL NO. OF PAGES INCLUDING COVER:
Patent No. 7,018,696	4
Inventor: Han H. Nee	SENDER'S REFERENCE NUMBER:
Issue date: 03/28/2006	7272-59
	RE:

☐ URGENT   ☐ FOR REVIEW   ☐ PLEASE COMMENT   ☐ PLEASE REPLY   ☐ PLEASE RECYCLE

NOTES/COMMENTS:

## Attached please find:

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Request for Refund
<input checked="" type="checkbox"/> Credit Card Form PTO-2038	<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Declaration	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Preliminary	<input type="checkbox"/> Copy of Formalities Letter	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After final		<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> With affidavits/declarations	<input type="checkbox"/> Drawings	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Licensing-related Papers	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Petition	Notification that Small Entity Status is no Longer Appropriate
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Petition to Convert to a Provisional Application	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	

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